

66,291-140 (ABB Ref: 8027)  
09/194,567



GAU2834  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#14  
Supplemental  
C. Hickson  
3-9-01

In re: Leijon et al.

Serial No.: 09/194,567

Art Unit: 2834

Filed: 04/07/1999

Examiner: Enad, Elvin

For: A DEVICE IN THE STATOR OF A ROTATING ELECTRIC MACHINE

Docket No.: 66,291-140

ABB Ref: 8027

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Assistant Commissioner for Patents  
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
SUBMITTED WITHOUT COPIES OF INFORMATION  
DISCLOSURE STATEMENT CITATIONS PURSUANT TO  
DECISION ON PETITION UNDER 37 C.F.R. 1.183  
SEEKING WAIVER OF REQUIREMENTS UNDER 37 C.F.R. 1.98**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO Form-1449, an addendum to the previous PTO Form-1449 filed in this application. Copies of the 169 references set forth on the attached addendum PTO Form-1449 have been filed with the Office on December 21, 2000 in accord with the terms of the Office's Decision on Petition (copy attached).

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**CERTIFICATE OF MAILING**

I hereby certify that this Supplemental Information Disclosure Statement and recited attachments are being deposited with the United States Postal Service on this 20<sup>th</sup> day of February, 2001 in an envelope as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

02/25/2001 KRCCKA1 00000093 042223 09194567  
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Alesia A. Mungons

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. §§ 1.104(a) and 1.106(b) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Pursuant to the Decision on Petition dated December 1, 1999, which was filed in U.S. Patent Application No. 09/147,325 (the holding application), the requirement for the submission of a copy of each Information Disclosure Statement citation is waived provided that the conditions set forth in paragraphs 1-8 (pages 8-10) of the Decision on Petition are met.

The conditions set forth in the Decision on Petition are believed to have been met as follows:

1. Three paper copies of each Information Disclosure Statement citation on the attached addendum PTO Form-1440 has been supplied to the U.S. Patent and Trademark Office on December 21, 2000, specifically with Mr. Michael Gellner.
2. This application (the bulk filing application) for which the waiver is desired is related to the above-identified holding application, U.S. Patent Application No. 09/147,325.
3. The information herein has been cited in the above holding application.
4. A copy of the Decision on Petition granting the waiver is attached hereto.
5. At present, no explanatory information related to any particular citation has been submitted in the holding application except for transactions of foreign language references, if applicable.
6. As of the time of this filing, the Office has not terminated the waiver grant, nor has the Applicant terminated or withdrawn its assent to the waiver.
7. The holding application is co-pending herewith.

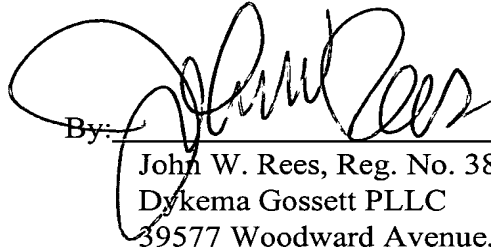
66,291-140 (ABB Ref: 8027)  
09/194,567

8. The paper copies of the references cited herein are believed to be contained (or will be contained) in a series of official digests established by the Office which is noted in the Decision on Petition.

Pursuant to 37 C.F.R. §§ 1.97(c) and 1.17(p), please charge Deposit Account No. 04-2223 in an amount of \$180.00. Please charge any additional fees to Deposit Account No. 04-2223. A duplicate copy of this paper is attached.

Respectfully submitted,

Date: February 20, 2001

  
By: \_\_\_\_\_

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INFORMATION DISCLOSURE CITATION LIST  
ALTERNATE FORM PTO-1449  
(additional to original listing)

Docket Number: 66,291-140

Serial No. 09/194,567

Applicant(s): Leijon et al.

Filing Date: 04/07/1999

Group Art Unit: 2834



U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1	US 1,508,456	9/16/24	W.G.Lenz			
	2	US 1,904,885	4/18/33	G.A.Seeley			
	3	US 2,409,893	10/22/46	W.W. Pendleton et al			
	4	US 2,650,350	8/25/53	P.D. Heath			
	5	US 2,749,456	06/05/56	F.O. Luenberger			
	6	US 3, 014, 139	12/19/61	L.P. Shildneck			
	7	US 3,197,723	7/27/65	I.K.Dortort			
	8	US 3,392,779	7/16/68	K.B. Tilbrook			
	9	US 3,411,027	11/12/68	H. Rosenberg			
	10	US 3,541,221	11/17/70	M.Aupoix et al			
	11	US 3,571,690	3/23/71	V V A V Lataisa			
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	13	US 3,660,721	5/2/72	L.L.Baird			
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	22	US 3,993,860	11/23/76	J.P.Snow et al			
	23	US 4,008,367	2/15/77	H. Sunderhauf			
	24	US 4,132,914	1/2/79	G.M. Khutoretsky			
	25	US 4,314,168	2/2/82	O. Breitenbach			
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	27	US 4,361,723	11/30/82	A.Hvzd Jr. et al'			
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	41	US 4,652,963	3/24/87	N. Fahlen			
	42	US 4,723,083	2/2/88	R.K.Elton			
	43	US 4,724,345	2/9/88	R.K.Elton et al			

Examiner

Date

Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP0 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

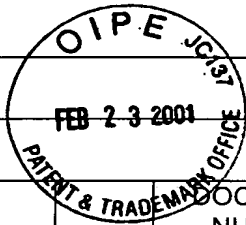
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Sheet 2 of 7

**INFORMATION DISCLOSURE CITATION LIST  
ALTERNATE FORM PTO-1449**



**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	1	DE 209,313	4/25/84	Germany		
	2	DE 134,022	12/28/01	Germany		
	3	DE 1,465,719	5/22/69	Germany		
	4	DE 19,020,222	3/13/97	Germany		
	5	DE 19,620,906	1/8/96	Germany		
	6	DE 386,561	12/13/23	Germany		
	7	DE 3,925,337	2/7/91	Germany		
	8	DE 406,371	11/21/24	Germany		
	9	DE 4,402,184	8/3/95	Germany		
	10	DE 4,438,186	5/2/96	Germany		
	11	DE 975,999	1/10/63	Germany		
	12	EP 0,102,513	1/22/86	European		
	13	EP 0,185,788	7/2/86	European		
	14	EP 0,221,404	5/16/90	European		
	15	EP 0,503,817	9/16/92	European		
	16	EP 0,620,630	10/19/94	European		
	17	EP 0,739,087 A2	10/23/96	European		
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	19	EP 0,749,193 A3	3/26/97	European		
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	22	FR 2,481,531	10/30/81	France		
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	27	GB 2,150,153	6/26/85	United Kingdom		
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	29	DE 468,827	7/13/97	Germany		
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	39	SU 1,189,322	10-86	Switzerland		
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	42	WO 91/11841	8/8/91	PCT		
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	45	WO 97/29494	8/14/97	PCT		

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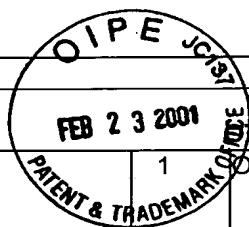


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Sheet 4 of 7

**INFORMATION DISCLOSURE CITATION LIST**  
**ALTERNATE FORM PTO-1449**  
 ( Corrected Listing of Original List )



**OTHER REFERENCES (Including Title, Author, Date, Pertinent Pages, etc.)**

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3	OD 046	36-Kv. Generators Arise from Insulation Research; P. Sidler; <i>Electrical World</i> 10/15/1932, ppp 524
4	OD 047	Oil Water cooled 300 MW turbine generator; L.P. Gnedin et al; <i>Elektrotechnika</i> , 1970, pp 6-8
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15	OD 058	Design, manufacturing and cold test of a superconducting coil and its cryostat for SMES applications; A. Bautista et al; IEEE Applied Superconductivity, Vol 7, No. 2, 06/1997, pp 853-856
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18	OD 061	High Speed Synchronous Motors Adjustable Speed Drives; ASEA Generation Pamphlet OG 135-101 E, 01/1985, pp 1-4
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Examiner

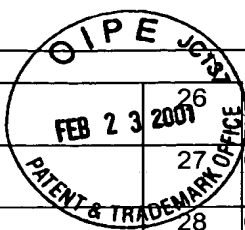
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**INFORMATION DISCLOSURE CITATION LIST**  
**ALTERNATE FORM PTO-1449**  
**( Corrected Listing of Original List )**



26	OD 070	Variable-speed switched reluctance motors; P.J. Lawrenson et al; IEE proc, Vol 127, Pt.B, No.4, 07/1980, pp 253-265
27	OD 071	Das Einphasenwechselstromsystem hoherer Frequenz; J.G. Heft; Elektrische Bahnen eb; 12/1987, pp 388-389
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36	OD 081	Elkrafthandboken, Elmaskiner; A. Rejminger; Elkrafthandboken, Elmaskiner 1996, 15-20
37	OD 082	Power Electronics - in Theory and Practice; K. Thorborg; ISBN 0-86238-341-2, 1993, pp 1-13
38	OD 083	Regulating transformers in power systems- new concepts and applications; E. Wirth et al; ABB Review 04/1997, p 12- 20,
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41	OD 086	Industrial High Voltage; F.H. Kreuger; <i>Industrial High Voltage</i> 1991 Vol I, pp. 113-117
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Examiner

Date  
Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP0 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## A circular stamp from the OIPES Patent &amp; Trademark Office. The text "OIPES" is at the top, "PATENT &amp; TRADEMARK OFFICE" is at the bottom, and "FEB 23 2001" is in the center. The number "53" is handwritten above the date.

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<b>Subtotal</b>	<b>53</b>		
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GRAND TOTAL	169		
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Sheet 7 of 7